Dr. Arslan Razzaque COMPUTATION OF LIQUID CAPITAL AS ON JAN-31-2024

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Amounts receivable against Repo financing. - (Securities purchased under repo arrangement shall not be included in the investments.) 1. No Haircut may be applied on the short term loan to employees provided these loans are secured and due for repayment within 12 months 1.66,263 - No Haircut may be applied to the advance tax to the extent it is netted with provision of taxation - J. In all other cases, 100% of net value 1.16 Receivables from clearing house or securities exchange(s) Receivables from clearing house or securities - (1,891,478) - (1,891,478) - (1,891,478) - (1,991,478) - (1,	1.13	Dividends receivables.	=	-		=
be included in the investments.) 1. No Haircut may be applied on the short term loan to employees provided these loans are secured and due for repayment within 12 months 166,263 166,263 Receivables from clearing house or securities exchange(s) 2. No Haircut may be applied to the advance tax to the extent it is netted with provision of taxation 3. In all other cases, 100% of net value 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. i. In case receivables are against margin financing, the aggregate of: (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.					Amount paid as purchaser under the REPO agreement.	
1.15 Advances and Receivables other than trade receivables Advances and Receivables other than trade receivables 166,263 166,263 166,263 2. No Haircut may be applied to the advance tax to the extent it is netted with provision of taxation 3. In all other cases, 100% of net value 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. i. In case receivables are against margin financing, the aggregate of : (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral after applying VAR based haircut.	1.14	Amounts receivable against Repo financing.	=	-	(Securities purchased under repo arrangement shall not	=
1.15 Advances and Receivables other than trade receivables Advances and Receivables other than trade receivables 166,263 166,263 166,263 2. No Haircut may be applied to the advance tax to the extent it is netted with provision of taxation 3. In all other cases, 100% of net value 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. i. In case receivables are against margin financing, the aggregate of: (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral after applying VAR based haircut.					he included in the investments	
Advances and Receivables other than trade receivables 166,263 176,263 187,264 188,264 198,264 199,264 19						
Advances and Receivables other than trade receivables 166,263 166,263 166,263 2. No Haircut may be applied to the advance tax to the extent it is netted with provision of taxation 3. In all other cases, 100% of net value 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. i. In case receivables are against margin financing, the aggregate of: (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.				-	, 11	_
1.16 Receivables from clearing house or securities exchange(s) Receivables from clearing house or securities 1.16 Receivables from clearing house or securities 1.17 Receivables from clearing house or securities 1.18 Receivables from clearing house or securities 1.19 1.10 Receivables from clearing house or securities 1.10 1.11 1.12 1.13 1.14 1.15	1.15	Advances and Receivables other than trade receivables			repayment within 12 months	
Receivables from clearing house or securities exchange(s) Receivables from clearing house or securities exchange(s) Receivables from clearing house or securities exchange(s) Receivables from clearing house or securities entitlements against trading of securities in all markets including MtM gains. i. In case receivables are against margin financing, the aggregate of: (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.		and receivables offici than trade receivables	166 263		, 11	
Receivables from clearing house or securities exchange(s) 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. i. In case receivables are against margin financing, the aggregate of: (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.			100,203			
1.16 Receivables from clearing house or securities exchange(s) - entitlements against trading of securities in all markets including MtM gains. i. In case receivables are against margin financing, the aggregate of: (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.			=	-		-
including MtM gains. i. In case receivables are against margin financing, the aggregate of: (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.	1 16	Receivables from clearing house or securities				
i. In case receivables are against margin financing, the aggregate of: (i) value of securities held in the blocked account after 61,891,478 - applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.	1.10	exchange(s)		_	0	
aggregate of: (i) value of securities held in the blocked account after 61,891,478 - applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.						
(i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.						
(ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.					00 0	
(iii) market value of any securities deposited as collateral after applying VaR based haircut.			61,891,478	-	· ·	61,891,478
after applying VaR based haircut.					(ii) cash deposited as collateral by the financee	
ii. in case receivables are against margin trading, 5% of						
the net balance sheet value.			=	-	9 9	-

			iii. in case receivables are against securities borrowings	
		_	- under SLB, the amount paid to NCCPL as collateral	_
			upon entering into contract.	
		+	iv. in case of other trade receivables not more than 5	
			iv. in case of other trade receivables not more than 5	
		-	-	-
			days overdue, 0% of the net balance sheet value.	
			v. in case of other trade receivables are overdue, or 5	
	Receivables from customers		days or more, the aggregate of	
4.45			(i) the market value of securities purchased for customers	
1.17			and held in sub-accounts after applying VAR based	
		543,914	haircuts.	543,914
			(ii) cash deposited as collateral by the respective	
			customer and,	
			(iii) the market value of securities held as collateral after	
			applying VaR based haircuts.	
			vi. In the case of amount receivable form related	
			parties. values determined after applying applicable	
			haircuts on underlying securities readily available	
			in respective CDS account of the related party in	
			the following manner:	
		=	a. Up to 30 days, values determined after applying	-
			VaR based haircuts;	
			b. Above 30 days but upto 90 days, values	
			determined after applying 50% or VaR based	
			haircuts whichever is higher;	
			c. Above 90 days, 100% haircut shall be applicable.	
		_	Nil	
1.18	Cash and Bank balances	10,655,878	i. Bank Balance-proprietary accounts	10,655,878
		3,299,180	ii. Bank Balance-customer accounts	3,299,180
		6,628,667	iii. Cash in hand	6,628,667
			i. No haircut may be applied in respect of amount paid	
			as subscription money provided that shares have not	
			been allotted or are not included in the investments of	
			securities broker.	
	Subscription money against investment in IPO/Offer for sale (asset)		ii. In case of investments in IPO where shares have been	
1.19		_	- allotted but not yet credited in CDS account, 25%	_
			haircuts will be applicable on the value of such securities.	
			maricuts will be applicable on the value of such securities.	
			iii. In case of subscription in right shares where the	
			iii. In case of subscription in right shares where the	
			shares have not yet been credited in CDS account, 15%	
			shares have not yet been credited in CDS account, 15%	
1.20	Total Assets	181,160,988	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher,will be applied	135,187,317
1,20 2. Liabili		181,160,988	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher,will be applied	135,187,317
		181,160,988 290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher,will be applied	135,187,317 290,743
. Liabili	ities		shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher,will be applied on Right shares. i. Payable to exchanges and clearing house	
		290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products	290,743
2. Liabili	ities		shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers	
2. Liabili	ities	290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products	290,743
2. Liabili	ities	290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers	290,743
2. Liabili	ities	290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues	290,743 2,711,739
. Liabili	ities	290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables	290,743
2. Liabili	ities	290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher,will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings	290,743 2,711,739
. Liabili	Trade Payables	290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans	290,743 2,711,739
2.1	ities	290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities	290,743 2,711,739
2. Liabili 2.1	Trade Payables	290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans	290,743 2,711,739 348,049
2.1	Trade Payables	290,743	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities	290,743 2,711,739 348,049
2.1	Trade Payables	290,743 2,711,739 348,049 320,702	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation	290,743 2,711,739 348,049
2.1	Trade Payables	290,743 2,711,739 348,049	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and	290,743 2,711,739 348,049
2.1	Trade Payables Current Liabilities	290,743 2,711,739 348,049 320,702	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and included in the financial statements	290,743 2,711,739 348,049
2.1	Trade Payables Current Liabilities Non-Current Liabilities	290,743 2,711,739 348,049 320,702	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and	290,743 2,711,739 348,049
2.1	Trade Payables Current Liabilities	290,743 2,711,739 348,049 320,702	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and included in the financial statements 1. 100% haircut may be allowed against long term	290,743 2,711,739 348,049
2. Liabili 2.1	Trade Payables Current Liabilities Non-Current Liabilities	290,743 2,711,739 348,049 320,702	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and included in the financial statements	290,743 2,711,739 348,049
2.1 2.2	Trade Payables Current Liabilities Non-Current Liabilities i. Long Term Financing ii. Staff Retirement benefits	290,743 2,711,739 348,049 320,702	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and included in the financial statements 1. 100% haircut may be allowed against long term portion of financing obtained from a financial institution	290,743 2,711,739 348,049
2.1 2.2	Trade Payables Current Liabilities Non-Current Liabilities i. Long Term Financing ii. Staff Retirement benefits iii. Other liabilities as per accounting principles and included	290,743 2,711,739 348,049 320,702	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and included in the financial statements 1. 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases.	290,743 2,711,739 348,049
2.1 2.2	Trade Payables Current Liabilities Non-Current Liabilities i. Long Term Financing ii. Staff Retirement benefits	290,743 2,711,739 348,049 320,702	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and included in the financial statements 1. 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases. 2. Nil in all other cases	290,743 2,711,739 348,049
2.1 2.1 2.2	Trade Payables Current Liabilities Non-Current Liabilities i. Long Term Financing ii. Staff Retirement benefits iii. Other liabilities as per accounting principles and included in the financial statements	290,743 2,711,739 348,049 320,702	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and included in the financial statements 1. 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases.	290,743 2,711,739 348,049
2.1 2.2	Trade Payables Current Liabilities Non-Current Liabilities i. Long Term Financing ii. Staff Retirement benefits iii. Other liabilities as per accounting principles and included	290,743 2,711,739 348,049 320,702	shares have not yet been credited in CDS account, 15% or VaR based haircut whichever is higher, will be applied on Right shares. i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Nil i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of Subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and included in the financial statements 1. 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases. 2. Nil in all other cases	290,743 2,711,739 348,049

				100% haircut may be allowed in respect of advance	
2.5				against shares if:	
				a. The existing authorized share capital allows the	
				proposed enhanced share capital.	
				b. Board of Directors of the company has approved the	
	Advance against shares for Increase in Capital of Securities broker if:			increase in capital.	
				1	
				c. Relevant Regulatory approvals have been obtained.	
				d. There is no unreasonable delay in issue of shares	
				against advance and all regulatory requirements relating	
				to the increase in paid up capital have been completed.	
				e. Auditor is satisfied that such advance is against the	
				increase of capital.	
2.6	Total Liabilities	59,321,233			59,321,233
3. Rankii	ng Liabilities Relating to:				
				The amount calculated client-to-client basis by which	
				any amount receivable from any of the financees exceed	
				10% of the aggregate of amounts receivable from total	
				finances.	
3.1	Concentration in Margin Financing	_	_	Provided that above prescribed adjustments shall not be	_
5.1	Concentration in Mangin 1 maneing			applicable where the aggregate amount of receivable	
				against margin financing does not exceed Rs. 5 million	
				Note: Only amount exceeding by 10% of each financee	
				from aggregate amount shall be included in the ranking	
				liabilities.	
				The amount by which the aggregate of:	
				(i) Amount deposited by the borrower with NCCPL	
				(ii) Cash margins paid and	
				(iii) The market value of securities pledged as margins	
3.2	Concentration in securities lending and borrowing	-	-	exceed the 110% of the market value of shares	-
				borrowed.	
				Note: Only amount exceeding by 110% of each	
				borrower from market value of shares borrowed shall be	
				included in the ranking liabilities.	
	Net underwriting Commitments			(a) in the case of right issue:	
				if the market value of securities is less than or equal	
				to the subscription price the aggregate of:	
				(i) the 50% of Haircut multiplied by the	
				underwriting commitments and	
2.2				(ii) the value by which the underwriting	
3.3		-	-	commitments exceeds the market price of the	-
				securities. In the case of rights issues where the	
				market price of securities is greater than the	
				subscription price, 5% of the Haircut multiplied by	
				the net underwriting commitment.	
				(b) In any other case: 12.5% of the net underwriting	
				commitments.	
				The amount by which the total assets of the subsidiary	
3.4	Negative equity of subsidiary	-	-	(excluding any amount due from the subsidiary). Exceed	-
				the total liabilities of the subsidiary	
				5% of the net position in foreign currency.Net position	
	Foreign grahaman as a second of the second o			in foreign currency means the difference of total assets	
3.5	Foreign exchange agreements and foreign currency positions	-	-	,	-
	Positions			denominated in foreign currency less total liabilities	
				denominated in foreign currency.	
3.6	Amount Payable under REPO	-	-	Carrying value	-
				In the case of financier/purchaser the total amount	
				receivable under Repo less the 110% of the market value	
	Repo adjustment			of underlying securities.	
3.7		-	_	In the case of financee/seller the market value of	-
	- <i>'</i>			underlying securities after applying haircut less the total	
				amount received ,less value of any securities deposited	
				as collateral by the purchaser after applying haircut less	
				any cash deposited by the purchaser.	
3.8	Concentrated proprietary positions	-		If the market value of any security is between 25% and	
				51% of the total proprietary positions then 5% of the	
			-	value of such security .If the market of a security exceeds	-
				51% of the proprietary position, then 10% of the value	
				of such security	
	<u>l</u>	l .	1	or outer security	

3.9	Opening Positions in futures and options	-	i. In case of customer positions, the total margin requirements in respect of open positions less the amount of cash deposited by the customer and the value of securities held as collateral/ pledged with securities exchange after applying VaR haircuts ii. In case of proprietary positions, The total margin requirements in respect of open positions to the extent not already met	-
3.10	Short sell positions	-	i. in case of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. in case of proprietary positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	-
3.11	Total Ranking Liabilities	-	-	-
	TOTAL	121,839,755	Liquid Capital	75,866,084
i) ii)	ons Summary of Liquid Capital Adjusted value of Assets (serial number 1.20) Less: Adjusted value of liabilities (serial number 2.6) Less: Total ranking liabilities (serial number 3.11)		- -	135,187,317 (59,321,233) - 75,866,084

Director

Chief Executive